

JAN 27 JAN 2005

DARBY &
DARBY805 Third Avenue
New York, NY 10022
Tel: (212) 527-7700
Fax: (212) 753-6237

E-MAIL:

FILE #: 08172

DATE: 1/21/05

NUMBER TRANSMITTING TO: 703-308-7751

RECIPIENT: Corrected Filing Receipt Division

COMPANY: United States Patent and Trademark Office

FROM: Flynn Barrison

NO. OF PAGES TRANSMITTING
(INCLUDING COVER SHEET): 8CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THIS DOCUMENT AT NO COST TO YOU. THANK YOU.

PLEASE RETURN TO

* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (212) 527-7774

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being facsimile transferred to the Commissioner for Patents & Trademarks at the United States Patent and Trademark Office, Alexandria, VA 22313-1450, at 703-308-7751 on the date shown below

PLEASE CHARGE ANY DEFICIENCY UP TO \$400.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

11/21/05
Date
Darby & Darby PC
Name
Signature

Customer No.: 07278

Docket No.: 04172/000K523US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mike Levanduski

Serial No.: 10/026,420

Filed: December 18, 2001

For: PLURIPOTENT STEM CELLS DERIVED WITHOUT THE USE OF EMBRYOS OR FETAL TISSUES

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

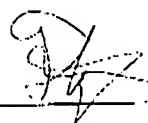
- ☒ incorrectly entered and/or
☐ omitted.

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input type="checkbox"/> Title	
<input checked="" type="checkbox"/> Filing Date	12/18/2001
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

Attached are copies of Customer Copy of Express Mail Label No. EV 825523504 US and stamped return postcard. The Express Mail Customer Copy indicates a date of deposit of December 18, 2001, and the postcard also indicates a receipt date of December 18, 2001. Applicants respectfully request the Commissioner to make the corrections indicated on the enclosed, marked-up copy of the filing receipt and to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: January 20, 2004



Flynn Harrison
Reg. No. 53,970
Agent for Applicant(s)

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
212-527-7700

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

Box: Patient Application

VA 22202 Arlington, VA

2327 BOX 2327

ASSISTANT COMMISSIONER FOR PATENTS

1050N DAVISON & KAPPEL LLC
717 WAVE BL 14
YORK
NY 10018-6804

TO: (PLEASE PRINT)

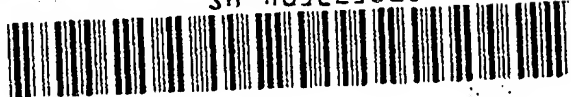
... ..

[illegible][illegible]

Finance Copy
Label 1 of August 2001

POST OFFICE
TO ADDRESSEE

50 605F25528 73



EXPRESS MAIL



1000 PM
FEB 15 2005
NEW YORK NY

RJP:DF:tel

Orig Ref: 326.1020

December 18, 2001

RE:

Patent Application of:

MIKE LEVANDUSKI

Serial No.:

To be assigned

Filed:

Herewith

For

PLURIPOTENT STEM CELLS DERIVED WITHOUT
THE USE OF EMBRYOS OR FETAL TISSUES

Enclosed are:

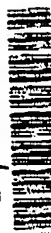
- New Patent Application Transmittal (4 pages);
- Patent Application consisting of Specification, 76 Claims & Abstract (81 pages);
- Three (3) Sheets of Drawings;
- Executed Declaration: Power of Attorney (1 page);
- Certificate of Mailing by Express Mail (1 page); and
- Check in the amount of \$1,684.00

WITH EXPRESS MAIL LABEL NO. IL 82523504 US

BOX: PATENT APPLICATION
RECEIVED BY:

31040 U.S. PTO

10/026420



12/19/01

✓

(7.1)



UNITED STATES PATENT AND TRADEMARK OFFICE

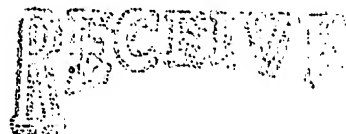
04178/000K5E3

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
400 NEW JERSEY TURNPIKE
PO BOX 1000
WASHINGTON, DC 20546-1000
www.uspto.gov

APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FILED REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/026,420	12/19/2001	1636	1084	326.1001	3	76	2

12/19/01

DAVIDSON, DAVIDSON & KAPPEL, LLC
14th Floor
485 Seventh Avenue
New York, NY 10018



DEC 10 2004

CONFIRMATION NO. 2519
CORRECTED FILING RECEIPT



0000000014695346

Date Mailed: 12/08/2004

DAVIDSON, DAVIDSON & KAPPEL, LLC

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mike Levanduski, River Vale, NJ;

Power of Attorney:

Robert Paradiso--41240

Domestic Priority data as claimed by applicant**Foreign Applications**

If Required, Foreign Filing License Granted: 02/28/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/026,420**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Pluripotent stem cells derived without the use of embryos or fetal tissue

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.